SEC Form 4

FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287
Estimated average b	ourden
hours per response:	0.5

			Filed pursuant to Section 16(a) of the Securities Exchange Act of 193	4	Estimated avera	0	0.5		
1. Name and Address of Reporting Person* Katzman Steven B.			or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol <u>SmileDirectClub</u> , Inc. [SDC]	5. Relationship of R (Check all applicate X Director	ole)	n(s) to Issuer 10% Owner			
(Last) C/O SMILEDII 414 UNION ST		(Middle) NC.	3. Date of Earliest Transaction (Month/Day/Year) 04/06/2021						
(Street) NASHVILLE (City)	TN (State)	37219 (Zip)	 4. If Amendment, Date of Original Filed (Month/Day/Year) 		nt/Group Filing (0 I by One Reporti I by More than O	ng Person			
		fable I - Non-De	rivative Securities Acquired, Disposed of, or Bene	ficially Owned					

Table I - NO	-Derivative C	becunities Acq	uncu	, DIS	posed of,		lencially	Owneu		
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Benerited		7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Class A Common Stock	04/06/2021		F		10,142(1)	D	\$10.13	587,233	D	

														· ·	I	
		Tal	ble II - Derivat (e.g., pi					ired, Dis options						d		
1. Title of Derivative Security 2. 3. Transaction Date 3A. Deemed Execution Date, (Month/Day/Year)			4. Transa Code (8)		of Deriv	r osed) 1. 3, 4	6. Date Ex Expiration (Month/Da	Date	and	Amou Secu Unde Deriv	rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisab	Expira Date	ation	Title	Amount or Number of Shares				

Explanation of Responses:

1. Shares withheld by the Issuer on vesting of Restricted Stock Units ("RSUs") to cover applicable withholding taxes, with the number of shares withheld based on the 4/5/21 closing price. **Remarks:**

> /s/ Susan Greenspon Rammelt 04/08/2021

as Attorney-in-Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.