SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANCES IN DENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16.				ATEMENT	EMENT OF CHANGES IN BENEFICIAL OWNERSHIP										OMB Number: Estimated average burden hours per response:	
Instruction 1(b).		uant to Section 16(a Section 30(h) of the				nours pe	r response		0.5							
1. Name and Address of F <u>Katzman Jordan N</u>		me and Ticker or Tra rectClub, Inc.			onship of Report Il applicable) Director		x	10% Ow								
(Last) C/O SMILEDIRECT 414 UNION STREET		3. Date of Earliest Transaction (Month/Day/Year) 01/31/2022								itle below))	Other (s	pecify below)			
(Street) NASHVILLE (City)	TN (State)	4. If Amendr	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip		lon-Derivative	Securities Ac	auired.	Disp	osed of.	or Be	neficially	/ Owned					
1. Title of Security (Instr.	2. Transaction Date (Month/Day/Year	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Dispo (D) (Instr. 3, 4 and 5)				sed Of 5. Amount of So Beneficially Ow Following Repo		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial			
			Code	v	Amount		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				Ownership (Instr. 4)			
Class A Common Stor	ck	01/31/2022		A	A 425,531 ⁽¹⁾ A		A	\$ <mark>0</mark>	551,182			D				
			Table II		ecurities Acqu alls, warrants,						Owned					
1. Title of Derivative Security (Instr. 3)	2. Sonversion	3. Transaction			5. Number of Derivative Securities		6. Date Exercisable and Expiration Date								LO. Ownershin	11. Nature of

	Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)			Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date (Month/Day/Year)		Underlying Derivative Security (Instr. 3 and 4)		Security	Securities Beneficially Owned	Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership (Instr. 4)	
	Security			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Re Tr	Reported Transaction(s) (Instr. 4)	(Instr. 4)			

Explanation of Responses: 1. Comprised of Restricted Stock Units ("RSUs") that represent a contingent right to receive one share of the Issuer's Class A common stock.

Remarks:

/s/ Susan Greenspon Rammelt as Attorney-in-Fact

** Signature of Reporting Person

02/02/2022

Date

OMB APPROVAL

OMP N

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78f(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY With respect to holdings of and transactions in securities issued by SmileDirectClub, Inc. (the "Company"), the undersigned hereby constitutes 1. prepare, execute in the undersigned's name and on the undersigned's behalf, and submit to the United States Securities and Exchange Commiss: 2. execute for and on behalf of the undersigned, Forms 3, 4, and 5 in accordance with Section 16 of the Securities Exchange Act of 1934, as am 3. do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such F4 4. take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of ben The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever The undersigned acknowledges that the attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor : This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5 with respect

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 4th day of June, 2020.

[Signature Page to Follow]

Signature: /s/ Jordan Katzman Print Name: Jordan Katzman

[Signature Page to POA]

Schedule A Individuals Appointed as Attorney-in-Fact with Full Power of Substitution and Resubstitution Susan Greenspon Rammelt Mehgan Peetz Eric Greer Sarah Gabriel